



INSTRUCTIONS

1. All applicable information must be provided
2. Please print clearly
3. If additional information is required, reference and attach addendum pages to this form
4. Upon completion, one copy of this form must be provided to:

Registrar of Mortgage Brokers
600 - 750 West Pender Street
Vancouver, BC V6C 2T8

Email: Mortgagebrokers@bcfsa.ca

Web: <https://bcfsa.ca/>

Ph: 604-660-3555 Toll-free: 1-866-206-3030 (BC)

Fax: 604-660-3365

Freedom of Information and Protection of Privacy Act (FOIPPA)

The information requested on this form is collected under the authorities of sections 26(a) and 26(c) of the *Freedom of Information and Protection of Privacy Act*, and section 3(2) of the *Mortgage Brokers Act*. The information is collected for the purpose of administering the *Mortgage Brokers Act*. If you have any questions about the collection or use of this information, please contact the Privacy Officer at 604-660-3555, 600 - 750 West Hastings Street, Vancouver, BC, V6C 2T8.

For Office Use Only

Approved By: _____ Date Approved: _____

Restrictions: _____

PART 1 – APPLICANT'S LEGAL NAME & CONTACT INFORMATION

FULL LEGAL NAME

TRADE NAME

FISCAL YEAR END

PHONE NUMBER

FAX NUMBER

EMAIL ADDRESS

WEB ADDRESS

BUSINESS ADDRESS (complete address including postal code)

ADDRESS FOR SERVICE IN BRITISH COLUMBIA (complete address including postal code)

RESIDENTIAL ADDRESS (if different from Business Address – must be complete address including postal code)

PART 2 – PERSONAL HISTORY

List any and all previous names you have been known by

DATE OF BIRTH

PLACE OF BIRTH

INDICATE YOUR CITIZENSHIP

☐

CANADIAN

☐

PERMANENT

☐

ENTITLED TO WORK UNDER AN IMMIGRATION PERMIT

☐

OTHER (please specify)

Please indicate if you have lived outside of Canada in the last 10 years, and if so where:

PART 3 – SIGNIFICANT ACTIVITIES OF THE MORTGAGE BROKER

Identify which of the following activities the Mortgage Broker will engage in:

- ☐ Mortgage Origination
 ☐ Mortgage Administration
 ☐ Placing Investor Funds into mortgages
 ☐ Mortgage Referrals
☐ Syndicated Mortgages
 ☐ Reverse Mortgages
 ☐ Mortgage Investment Corporation
 ☐ Handles Trust Funds
☐ Lending Own Mortgage Funds or Those of Related/Associated Parties

PART 4 – EDUCATION REQUIREMENTS

I have met the education requirements for Submortgage Broker Registration in British Columbia in the following way:

COURSE/PROGRAM

DATE COMPLETED

INSTITUTION

OTHER MEANS

PART 5 – EMPLOYMENT HISTORY

Give full disclosure on your business activities, including self-employment and unemployment periods, if any, for the full 5 year period immediately preceding the date of this application (if more space is required attach a separate sheet):

EMPLOYER	NATURE OF EMPLOYMENT	FROM (MONTH/YEAR)	TO (MONTH/YEAR)

PART 5 – PRIOR LICENSING OR REGISTRATION

- a) Have you ever been licensed, registered or authorized in any capacity, under any of the following acts or under similar legislation in British Columbia or elsewhere?
- ☐ *Financial Institutions Act*
☐ YES
 ☐ NO
 ☐ *Real Estate Services Act*
☐ YES
 ☐ NO
☐ *Mortgage Brokers Act*
☐ YES
 ☐ NO
 ☐ *Securities Act*
☐ YES
 ☐ NO
☐ OTHER (please specify)
- b) Have you ever been refused a license or registration, or have you ever been disciplined or had your license or registration suspended or cancelled under any of the Acts in Question 6(a) or under any other legislation in British Columbia or elsewhere?
- ☐ YES
 ☐ NO

PART 6 – BANKRUPTCY, JUDGMENTS, CIVIL OR CRIMINAL PROCEEDINGS

- a) Are you subject to a charge or indictment, or have you been convicted under any law of any province, state or country? ☐ YES ☐ NO
- b) Have you personally, or has any business of which you were an officer, director or partner ever been subject to bankruptcy proceedings? ☐ YES ☐ NO
- c) Are there any pending legal proceedings against you or any business of which you were an officer, director or partner? ☐ YES ☐ NO
- d) In the last 5 years has any judgment ever been rendered against you personally, or against any business of which you were an officer, director or partner, in any civil court in British Columbia or elsewhere, for any reason whatsoever? ☐ YES ☐ NO
- e) If YES, has the judgment been satisfied? ☐ YES ☐ NO
- f) To your knowledge, are you currently the subject of an investigation by any law enforcement or regulatory agency in British Columbia or elsewhere? ☐ YES ☐ NO

For any of the questions in Section 6 or 7 to which you have answered YES, you must provide complete details as an exhibit using the same numbering as on this application.

WARNING:

Any application containing a false statement may result in the refusal, suspension or cancellation of any registration.

PART 7 – APPLICANT CERTIFICATION

I, the undersigned, certify that I am the applicant herein for registration or approval and the statement of facts made by me in this application and in the exhibits attached, if any, are true and complete.

SIGNATURE OF DIRECTOR

PRINT NAME

DATE SIGNED

PART 8 – FEES (Registration of New Mortgage Brokers or New Branch Offices is subject to fees)

Fees attached in the amount of:

PAID BY:

- ☐ Cheque
- ☐ Cash
- ☐ Credit Card

NOTE THAT ONCE SUBMITTED, THE FEE IS NOT REFUNDABLE.