

Regulations Reporting

As required under section 83.11 of the *Criminal Code* and section 7 of the *Justice for Victims of Corrupt Foreign Officials Act*

Instructions:

1. This form must be completed by all Credit Unions, Trust Companies and Insurance Companies (FIs) incorporated in British Columbia to meet their obligations to file information under section 83.11(2) of the *Criminal Code* and section 7(1) of the *Justice for Victims of Corrupt Foreign Officials Act*.
2. This report must be filed **NO LATER** than the **FIFTEENTH (15TH) DAY** of each calendar month. If such day falls on a Saturday, Sunday or statutory holiday, the report is due on the next business day. Reports must be submitted to the BC Financial Services Authority using the IRIS portal. Instructions for managing submissions with IRIS: <https://www.bcfsa.ca/about-us/what-we-do/mandate-and-values/initiatives/iris-platform/managing-submissions>
3. Refer to the OSFI website (<http://www.osfi-bsif.gc.ca/Eng/fi-if/amlc-clrpc/Pages/default.aspx>) prior to completing each report for Listed Entities and Foreign Nationals.
4. This is an aggregate report of dealings Financial Institutions have with Listed Entities and Foreign Nationals. Do not append personal, account, or policy information.
5. All amounts must be stated in Canadian dollars.
6. Information reported in the previous month must continue to be reported on the Report for the current month unless the person or entity in whose name the property is identified is no longer subject to the reporting requirements under section 83.11 of the *Criminal Code* or under section 7 of the *Justice for Victims of Corrupt Foreign Officials Act*.

Reminders:

Section 83.1(1) of the *Criminal Code*, requires every person in Canada and every Canadian outside Canada to disclose forthwith to the Royal Canadian Mounted Police and the Canadian Security Intelligence Service (a) the existence of property in their possession or control that is owned or controlled by or on behalf of a Listed Entity and (b) information about a transaction or proposed transaction in respect of property in their possession or control that is owned or controlled by or on behalf of a Listed Entity. In addition, under the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act*, persons subject to Part 1 of that Act are also required to report to the Financial Transactions and Reports Analysis Centre of Canada.

Subsection 7(2) for the *Justice for Victims of Corrupt Foreign Officials Act* requires every person in Canada and every Canadian outside Canada to disclose without delay to the Royal Canadian Mounted Police or the Canadian Security Intelligence Service (a) the existence of property in their possession or control that is owned or controlled by or on behalf of a Foreign National, and (b) information about a transaction or proposed transaction in respect of property in their possession or control that is owned or controlled by or on behalf of a Foreign National.

Name of Financial Institution:	
Type of Registration: <i>(check all applicable categories):</i>	<input type="checkbox"/> Credit Union <input type="checkbox"/> Trust Company <input type="checkbox"/> Insurance Company <input type="checkbox"/> Other
1. Are you in possession of, or in control of, any property as defined in the Regulations that is owned or controlled by or on behalf of a Listed Entity?	Per Criminal Code section 83.11 Yes No
2. Are you in possession or control of any property that you have reason to believe is the property of a Foreign National as defined in the Regulations?	Per Justice for Victims of Corrupt Foreign Official Act section 7(1) Yes No
If the responses to questions 1 and 2 above are No, complete the certificate at the end of this form.	
If the response to the either question 1 or 2 is Yes, complete the table below and the certificate at the end of this form.	
<i>Definitions:</i> <ul style="list-style-type: none"> • “Listed Entity” includes all entities as set out in the <i>Regulations Establishing a List of Entities</i>. • “Foreign National” includes all persons listed in the <i>Justice for Victims of Corrupt Foreign Officials Regulations</i>. • “Number of accounts” means the number of persons, accounts, policies or contracts in whose name(s) the property is held. • “Property” includes assets under administration. 	

SUMMARY OF PROPERTY

Type of Dealing	Number of Accounts	Property Value (\$'s)
Property		
Cash, cash equivalents, demand and term deposits		
Letters of credit, letters of guaranty or similar obligations		
Securities (bonds, debentures, commercial paper, treasury bills, mutual fund units, common and preferred shares, and derivatives)		
Life insurance and annuity products with cash surrender value (cash surrender value)		
Other property, including real estate		
Loans		
Mortgages, overdrafts, credit card balances, term loans, lines of credit balances, other indebtedness		
Insurance		
Life insurance policies with no cash surrender value (face value)		
Annuity products with no cash surrender value (monthly income)		
Property & casualty insurance policies (policy limit)		
Group benefit plans such as medical or dental plans (policy limit for Listed Entity)		
Totals		

Certificate

The undersigned certifies that, to the best of his/her knowledge, and after having made reasonable enquiries, the information contained in this Report is correct.

Name Signature Title Telephone Date of this Report:
